

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

**EP 0 722 741 A2**

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
**24.07.1996 Bulletin 1996/30**

(51) Int. Cl.<sup>6</sup>: **A61L 2/20**, A61L 2/10,  
A61L 2/08

(21) Application number: **95203637.4**

(22) Date of filing: **04.05.1989**

(84) Designated Contracting States:  
**AT BE CH DE ES FR GB GR IT LI LU NL SE**

(30) Priority: **05.05.1988 GB 8810603**

(62) Application number of the earlier application in  
accordance with Art. 76 EPC: **89304519.5**

(71) Applicant: **ELOPAK SYSTEMS AG**  
**CH-8152 Glattbrugg (CH)**

(72) Inventors:  
• **Castberg, Helge Bakketun**  
**N-1410 Kolbotn (NO)**

• **Chant, Nigel Anthony**  
**Stotfold, Bedfordshire (GB)**

(74) Representative: **Burrows, Anthony Gregory**  
**Business Centre West**  
**Avenue One, Business Park**  
**Letchworth Garden City Hertfordshire SG6 2HB**  
**(GB)**

Remarks:

This application was filed on 27 - 12 - 1995 as a  
divisional application to the application mentioned  
under INID code 62.

### (54) Sterilization

(57) In a method of sterilizing micro-organisms, the  
micro-organisms are subjected to UV radiation, in a  
wavelength band which kills micro-organisms, while in  
an atmosphere of ozone.

**EP 0 722 741 A2**

## Description

This invention relates to a method of sterilization and to a substance sterilized by the method. It has general application, but particular application to packaging.

Derwent File Supplier No. AN 85-234441(JP60153982) appears to disclose the removal of contamination compounds from the surfaces of articles by flowing water containing dissolved ozone over the surfaces under the irradiation of UV. The water can contain dissolved ozone or bubbles of ozonized gas.

Where the contamination compounds are organic compounds, they are partially oxidized by the treatment, aldehydes, ketones and carboxylic acids being formed, and removed by the water. Where the contamination compounds are inorganic compounds, they dissolve or disperse in the water and are thus removed.

Ozone is decomposed under the irradiation of UV to form an oxygen radical, an hydroxyl radical and a hydrogen peroxide radical. As such radicals have high activity but short life-time, the treatment generates the radicals on the surfaces themselves and so makes them most effective. The washing effect is further promoted because the reactivity of the contamination compounds can be enhanced by the UV irradiation, thus breaking down the compounds into smaller particles and into more hydrophilic compositions. The washing effect was enhanced with increasing washing time and with increasing ozone dissolved concentration. Use of oxygen gas in ozone generation increased the ozone concentration and enhanced the washing effect.

Such treatment is concerned with removing contamination from the surface of articles and is not concerned with sterilization in which micro-organisms are rendered non-viable.

US4309388 discloses sterilizing apparatus which comprises an enclosure surrounding the top, bottom and sides of a central sterilizing enclosure space and adjacent inlet and outlet enclosure spaces opening horizontally to the exterior of the enclosure at the opposite ends thereof. A continuously moving conveyor carries open-topped glass bottles to be sterilized horizontally through the inlet, sterilizing and outlet enclosure spaces of the enclosure. The sterilizing enclosure space is divided by partition walls into an upper compartment which opens at the bottom thereof into a lower compartment immediately above the path of travel of the open tops of the bottles passing through the lower compartment of the sterilizing enclosure space. Ozone-generating ultraviolet lamps are mounted in the upper compartment and a blower circulates air between the lower and upper compartments and through the opening of the upper compartment into the lower compartment so that ozone generated in the upper compartment is directed into the openings at the tops of the bottles moving in the lower compartment. Ozone decomposition accelerating ultra-violet lamps are positioned in the inlet and outlet enclosure spaces to accelerate decomposition of the ozone in the air escaping to the surrounding atmosphere through the inlet and outlet enclosure spaces.

The UV employed for the purpose of indirectly sterilizing the bottles has a radiation wavelength which is predominantly that in the wavelength band which generates ozone and not in the wavelength band which kills micro-organisms. Although UV radiation predominantly in the wavelength band which kills micro-organisms is employed, it is not employed for the purpose of rendering the micro-organisms non-viable but simply in order to degrade the ozone after its production.

CH387881 discloses a sterilization system in which the objects to be sterilized are subjected in an enclosure to the simultaneous actions of (1) vapours of a bactericidal substance, for example Methanogene, Trioxymethylene and Aldylene, (2) ozone generated in the enclosure by a lamp radiating at a wavelength of 185 nm. and (3) UV radiation of a wavelength of 253.7 nm.

In CH387881, the primary action of the ozone is particularly for the benefit of the operator of the system, in that it deodorizes the vapours from the bactericidal substance and removes their causticity from them, without however modifying their toxicity. This primary action is accompanied by a secondary action in which the effect of the ultraviolet radiation is to produce an activation of the bactericidal action, not only of the ozone but also of the bactericidal vapours.

Patent Abstracts of Japan, Vol.12, No.22 (C-470) (2869) (JP 62-176595A) discloses a method of decomposing a low molecular organic substance by adding ozonated water to a mixture of hydrogen peroxide, and waste water containing the organic substance, while the whole is irradiated with UV. It is not directed to the sterilization of micro-organisms.

In US4366125, a long sheet material to be sterilized is passed through an atmosphere of H<sub>2</sub>O<sub>2</sub> mist of low concentration and of droplet particle size of approximately 10 microns at room temperature for approximately one second and is then irradiated for approximately one second with UV lamps positioned to irradiate opposite surfaces of the sheet material, whereby the material is sterilized as a result of the synergistic effect produced by the combination of these two sterilization steps.

According to the present invention, there is provided a method of sterilization which comprises subjecting micro-organisms to UV radiation predominantly in the wavelength band which kills micro-organisms to render the micro-organisms non-viable, characterized in that the micro-organisms are subjected to the UV radiation in the presence of ozone.

We have found that the use of UV in an ozone atmosphere instead of in air gives an improved killing efficiency of micro-organisms. Moreover, we have not needed to employ a bactericidal substance in addition to the ozone.

In the present specification, "ozone" means a gas comprised of significant amounts of  $O_3$  radicals and hydroxyl radicals.

The ozone employed is preferably produced by subjecting air to a photoflux in the vacuum ultraviolet range, which photons have between 8-10 eV and ionize only the oxygen content, forming various activated oxygen compounds in the gas phase. Such activated oxygen is advantageously PHOTOZONE (Registered Trade Mark) gas which is produced by the PHOTOZONE (Registered Trade Mark) lamp obtainable from Water Management A/S of Oslo, Norway.

Such activated oxygen has a relatively greater oxidation potential than ozone produced by the classical method of employing a high voltage of between 8,000 and 10,000 volts across electrodes, and thus a greater killing power of micro-organisms, owing to its higher proportion of hydroxyl radicals.

The present invention is particularly useful for the sterilization of food contact surfaces. Such surfaces can be the inside surfaces of walls, sheets, films, cups and cartons. Where they are the inside surfaces of cartons ready to be filled, they may be for example liquid contact surfaces of cartons formed from paperboard (or from paperboard and aluminium foil, or from paperboard and polymer) with internal and external plastics coatings. Such liquid can be long-life milk or orange juice, for example.

Tests in which the food contact surfaces of cartons were subjected to UV-C and were contained in an ozone atmosphere appear to show a synergistic effect between the UV-C and the ozone.

An important commercial advantage of the invention is that the required kill rates of exposed micro-organisms are achieved by treatment times significantly less than with known sterilization methods.

Tests in which the food contact surfaces of cartons were subjected not only to UV-C in an ozone atmosphere but also to a pre-spray of  $H_2O_2$  appear to show additional synergism with consequential further improvement in treatment times.

In order that the invention may be clearly understood and readily carried into effect, examples of known sterilization treatments and of sterilization treatments according to the invention will now be described.

#### **EXAMPLES 1 - 7**

These Examples set forth in Table I are a selection of various comparable tests and illustrate the killing efficiency of exposure of *B. subtilis var. globigii* (B-17) to UV-C (254 nm), 2%  $H_2O_2$ , and/or heat, under various atmospheres. Air is mentioned at the commencement of all Examples because it was the initial atmosphere and remained so unless and until purged by the introduction of steam, or ozone, which latter remains the atmosphere unless and until purged by steam.

The tests were performed in gable-top, aluminium-foil-lined cartons, not top-sealed. The distance from the UV-C light source and the  $H_2O_2$  spray nozzle tip to the base of the cartons was a maximum of 28 cm.

Cartons were prior to the test inoculated with the spore suspension to a level of approximately  $10^6$  spores per carton. Rinsing with plating was used to determine the spore load of the carton.

After exposure to the various combinations of treatment, cartons were treated with catalase to remove residual  $H_2O_2$ . Cartons were then covered with nutrient agar on the inside and incubated for counting survivors.

The conditions and exposure times for the tests are given in Table 1 together with the results, the various treatments in each Example being given in their actual sequence.

TABLE I

EXAMPLE NO.	TREATMENT	INOCULUM	DECIMAL REDUCTION
1	Air + UV-C 254 nm (6s)	$5.9 \times 10^5$	4.0
2	Air + UV-C 254 nm (6s)	$6.3 \times 10^4$	3.4
3	Air + 2% H <sub>2</sub> O <sub>2</sub> (150mg) + UV-C 254 nm (12s)	$3.9 \times 10^6$	<3.0
4	Air + 2% H <sub>2</sub> O <sub>2</sub> (150mg) + UV-C 254nm (3s) + heat 150°C (2.6s)	$2.7 \times 10^6$	5.3
5	Air + 2% H <sub>2</sub> O <sub>2</sub> (150mg) + UV-C 254nm (3s) + Steam (3s)	$3.0 \times 10^6$	5.2
6A	Air + Ozone (31) [i.e. 3 litres]	$6.2 \times 10^6$	<1.0
6B	Air + H <sub>2</sub> O (150mg) + Ozone (31)	$6.2 \times 10^6$	<1.0
6C	Air + H <sub>2</sub> O (150mg) + Ozone (31) + UV-C 254nm (9s)	$6.2 \times 10^6$	4.4
6D	Air + 2% H <sub>2</sub> O <sub>2</sub> (150mg) + Ozone (31) + UV-C 254nm (9s)	$6.2 \times 10^6$	5.6
7A	Air + Ozone (31) + UV-C 254nm (3s) + heat 150°C (2.6s)	$2.7 \times 10^6$	3.8
7B	Air + 2% H <sub>2</sub> O <sub>2</sub> (150mg) + Ozone (31) + UV-C 254nm (3s) + heat 150°C (2.6s)	$2.7 \times 10^6$	5.3

In each appropriate Example, the carton was flushed with Photozone, supplied at 0.8 l/s, for 5.0s.

Examples 6A to 6D illustrate the very great improvement obtained by the passage of UV-C through a moist ozone atmosphere and the further improvement obtained by the employment of an H<sub>2</sub>O<sub>2</sub> spray instead of a water spray. Examples 7A and 7B illustrate the great improvement obtained by prior addition of H<sub>2</sub>O<sub>2</sub> compared with passing UV-C through a dry ozone atmosphere.

Examples 1 to 5 are illustrative of known treatments. Examples 6C, 6D, 7A and 7B are illustrative of the present invention. The time periods given in each Example are sequential rather than concurrent.

Testing was also carried out on the spores *Aspergillus niger* as these are known to be highly resistant to ultraviolet radiation. These tests were carried out in the form of a control to help justify previous results.

#### Spore Preparation

*Aspergillus niger* spores were inoculated on Malt extract agar and incubated at 25°C for one week.

Spores were harvested using a sterile loop and suspended in 1/4 strength Ringer's solution with Tween 80 to achieve a concentration of approximately  $10^5$  -  $10^6$  spores/ml. Spores were applied to the surfaces by means of a cotton wool swab. Carton surfaces were dried overnight followed by exposure to the sterilizing test conditions.

*Bacillus subtilis* var. *globigii* B17 spores were grown and isolated.

#### UV-C - Source

The UV-C source was IWASAKI irradiation equipment (254nm - UV-C) consisting of a high-intensity sterilizer GHS 490145, nine lamp sets GHL 400-2 and three irradiator sets GE 4301.

Ozone was produced by a Photozone lamp (185 nm - UV-C) from Water Management A/S.

#### Methods of Inoculation and Organisms

*B. subtilis* var. *globigii* (B17) are known to be highly resistant to hydrogen peroxide and ultra-violet radiation.

The method of producing a carton loading of  $10^6$  was as follows. A stock suspension of approximately  $10^9$ /ml dilution was sprayed into the carton in 0.5 ml doses, where the residual in the carton is approximately  $10^6$ . The cartons were then dried overnight, and the verification of actual bacteriological loading was carried out on 3/4 cartons. 9 ml of 0.25 strength Ringer's solution was poured into the carton, the latter closed and shaken vigorously and approximately

20 seconds were allowed for the solution to collect into the base of the carton. 1 ml. was removed by pipette and further dilutions were carried out.

#### Spray System

It was decided to use a device already in use, which proved to give excellent coverage on a 1 litre "Pure-Pak" carton. This device is commercially available from Metal Box plc of Reading, Berkshire, United Kingdom.

#### Spray Parameters

Inlet air pressure	= 90 psi. (6.4 kg/sq cm.)
Atomising Air	= 80 psi. (5.6 kg/sq cm.)
Pulse air pressure	= 60 psi. (4.2 kg/sq cm.)
Tank pressure	= 20 psi. (1.5 kg/sq cm.)
Discharge air pressure	= 10 psi. (1.05 kg/sq cm.)
Nozzle tip to carton base	= 270 - 280 mm.

This setting remained standard throughout all of the testing where spraying of H<sub>2</sub>O<sub>2</sub> was required. The only change was to the duration of the spraying time, which was varied to give differing levels of H<sub>2</sub>O<sub>2</sub> within the carton.

#### **Claims**

1. A method of sterilization which comprises subjecting micro-organisms to UV radiation predominantly in the wavelength band which kills micro-organisms in order to render the micro-organisms non-viable, characterized in that the micro-organisms are subjected to the UV radiation in the presence of ozone.
2. A method according to claim 1, in which said wavelength band is between 220 and 330 nanometres.
3. A method according to claim 1 or 2, wherein the micro-organisms are subjected to UV radiation as aforesaid in the presence also of moisture.
4. A method according to claim 3, wherein said moisture is provided by a spray of water or by steam.
5. A method according to any preceding claim, wherein said micro-organisms are subjected to UV radiation as aforesaid while at a surface of a packaging material.
6. A method according to any preceding claim, and further comprising subjecting said micro-organisms to UV radiation as aforesaid in the presence also of hydrogen peroxide.
7. A method according to any preceding claim, and further comprising subjecting said micro-organisms to UV radiation as aforesaid in the presence of a low concentration of hydrogen peroxide so as to obtain a synergistic effect between the UV radiation and the hydrogen peroxide in rendering the micro-organisms non-viable.
8. A method according to any preceding claim, wherein the subjecting of the micro-organisms to the UV radiation as aforesaid obtains a synergistic effect between the UV radiation and the ozone insofar as the rendering of the micro-organisms non-viable is concerned.

**DERWENT-ACC-NO:** 1996-335287

**DERWENT-WEEK:** 200153

*COPYRIGHT 2009 DERWENT INFORMATION LTD*

**TITLE:** Sterilisation of surfaces, e.g.  
those in contact with food by  
exposing to UV radiation in  
presence of ozone, to kill  
microorganisms

**INVENTOR:** CASTBERG H B; CHANT N A

**PATENT-ASSIGNEE:** ELOPAK SYSTEMS AG[ELOPN]

**PRIORITY-DATA:** 1988GB-010603 (May 5, 1988)

**PATENT-FAMILY:**

<b>PUB-NO</b>	<b>PUB-DATE</b>	<b>LANGUAGE</b>
EP 722741 A2	July 24, 1996	EN
EP 722741 A3	November 13, 1996	EN
EP 722741 B1	July 4, 2001	EN
DE 68929312 E	August 9, 2001	DE

**DESIGNATED-STATES:** AT BE CH DE ES FR GB GR IT LI  
LU NL SE AT BE CH DE ES FR GB  
GR IT LI LU NL SE

**APPLICATION-DATA:**

<b>PUB-NO</b>	<b>APPL-DESCRIPTOR</b>	<b>APPL-NO</b>	<b>APPL-DATE</b>
EP 722741A2	N/A	1995EP- 203637	May 4, 1989
DE 68929312E	N/A	1989DE- 629312	May 4, 1989
EP 722741A3	N/A	1995EP- 203637	May 4, 1989
EP 722741B1	N/A	1995EP- 203637	May 4, 1989

**INT-CL-CURRENT:**

<b>TYPE</b>	<b>IPC DATE</b>
CIPS	A61L2/10 20060101
CIPS	A61L2/20 20060101

**RELATED-ACC-NO:** 1989-326277 2001-219810**ABSTRACTED-PUB-NO:** EP 722741 A2**BASIC-ABSTRACT:**

Microorganisms are sterilised by subjecting them to UV radiation which is predominantly in the wavelength band which kills microorganisms, in the presence of ozone.

The wavelength band is 220-330 nm. Moisture is also present during irradiation and is provided by steam or a water spray. Hydrogen peroxide is also present during irradiation in a concn to obtain a

synergistic effect with the radiation.

Sterilisation takes place at the surface of a packaging material.

USE - The process is used for the sterilisation of surfaces, e.g. those in contact with foods, partic. interiors of cups, cartons, and wrapping sheets and films.

ADVANTAGE - Killing efficiency is increased, significantly reducing treatment times. No bactericide is necessary in addition to ozone.

**TITLE-TERMS:** STERILE SURFACE CONTACT FOOD EXPOSE  
ULTRAVIOLET RADIATE PRESENCE OZONE  
KILL MICROORGANISM

**DERWENT-CLASS:** D22 P34

**CPI-CODES:** D09-A01A;

**SECONDARY-ACC-NO:**

**CPI Secondary Accession Numbers:** 1996-105862

**Non-CPI Secondary Accession Numbers:** 1996-282622